

MOUNTAIN IMPASSE THEOREM AND SPECTRUM OF SEMILINEAR ELLIPTIC PROBLEMS

KYRIL TINTAREV

ABSTRACT. This paper studies a minimax problem for functionals in Hilbert space in the form of $G(u) = \frac{1}{2}\rho\|u\|^2 - g(u)$, where $g(u)$ is Fréchet differentiable with weakly continuous derivative. If G has a "mountain pass geometry" it does not necessarily have a critical point. Such a case is called, in this paper, a "mountain impasse". This paper states that in a case of mountain impasse, there exists a sequence $u_j \in H$ such that

$$g'(u_j) = \rho_j u_j, \quad \rho_j \rightarrow \rho, \quad \|u_j\| \rightarrow \infty,$$

and $G(u_j)$ approximates the minimax value from above. If

$$\gamma(t) = \sup_{\|u\|^2=t} g(u)$$

and

$$J_0 = \left(2 \inf_{t_2 > t_1 > 0} \frac{\gamma(t_2) - \gamma(t_1)}{t_2 - t_1}, 2 \sup_{t_2 > t_1 > 0} \frac{\gamma(t_2) - \gamma(t_1)}{t_2 - t_1} \right),$$

then $g'(u) = \rho u$ has a nonzero solution u for a dense subset of $\rho \in J_0$.

1. FORMULATION OF RESULTS

If g is a C^1 -functional on a Hilbert space and u is a critical point of g on a sphere, then $g'(u) = \rho u$, $\rho \in \mathbf{R}$. This approach to semilinear elliptic equations has been known for decades (cf. [1, 2]), but a question of the range of ρ has remained open. A recent series of papers (cf. [7] and references therein) provides an answer that can be summarized as follows.

Let H be an infinite dimensional Hilbert space and let $g : H \rightarrow \mathbf{R}$ be a C^1 -map (with respect to Fréchet differentiation). Let H_w be the space H supplied with the weak topology. Assume that

$$(1.1) \quad g \in C(H_w \rightarrow \mathbf{R}),$$

$$(1.2) \quad g' \in C(H_w \rightarrow H).$$

(By continuity we always mean local continuity without uniform bounds.)

In applications to semilinear elliptic problems condition (1.1)–(1.2) correspond to the subcritical growth of the right-hand side.

Consider the following function

$$(1.3) \quad \gamma(t) = \sup_{\|u\|^2=t} g(u).$$

Received by the editors December 3, 1990.

1980 *Mathematics Subject Classification* (1985 Revision). Primary 35P30, 35J65, 47H12.

©1993 American Mathematical Society
0002-9947/93 \$1.00 + \$.25 per page

Theorem 1.1. Assume (1.1), (1.2). The function (1.3) is continuous, nondecreasing and possesses left- and right-hand derivatives $\gamma'_-(t) \leq \gamma'_+(t)$. For every $t > 0$ such that $\gamma'_+(t) \neq 0$ ($\gamma'_-(t) \neq 0$) there exist $u_+ \in H$ ($u_- \in H$) such that

$$(1.4) \quad \|u_{\pm}\|^2 = t, \quad g(u_{\pm}) = \gamma(t),$$

and

$$(1.5) \quad 2\gamma'_{\pm}(t)u_{\pm} = g'(u_{\pm}).$$

In other words, the spectrum $\{\rho\}$ of the problem

$$(1.6) \quad \rho u = g'(u), \quad u \in H \setminus \{0\},$$

contains all the tangent slopes from the graph of $2\gamma(t)$. If $\gamma(t)$ had a continuous derivative, then (1.6) would be solvable for all

$$(1.7) \quad \rho \in J_0 = \left(\inf_{t>0} 2\gamma'_-(t), \sup_{t>0} 2\gamma'_+(t) \right).$$

However, $\gamma(t)$ is not necessarily differentiable (cf. [7]). On the other hand, [7] gives sufficient conditions for (1.6) to be solvable with $\rho \in J_0$. The argument of [7] involves a mountain pass lemma with an additional condition of the (PS)-type which we avoid here.

The main result of this paper is

Theorem 1.2. Let g satisfy (1.1), (1.2). Then for every $\rho \in J_0$ such that (1.6) is not solvable there is a sequence $\rho_j \in J_0$ and a sequence $u_j \in H$, such that

$$(1.8) \quad \rho_i > \rho, \quad \rho_j \rightarrow \rho,$$

$$(1.9) \quad \rho_j u_j = g'(u_j), \quad \|u_j\| \rightarrow \infty.$$

Theorem 1.2 means that the set of eigenvalues of (1.6) is dense in J_0 and that a missing eigenvalue always can be approximated by a “blow up” sequence. Theorem 1.2 reflects a technical situation that might be called a *mountain impasse*. This is the case when a functional has a standard mountain pass geometry but no critical points. This situation is handled by Theorem 2.1 which is a refinement of Schechter’s Mountain Pass Alternative [4]. Section 2 contains the proof of Theorem 2.1. Section 3 discusses applications to semilinear elliptic problems. The tangible benefit of Theorem 1.2 is not a mountain impasse itself (which to our best understanding was never observed in elliptic problems), but a relation between solvability and priori bounds, widely used before in the topological approach. We will discuss this in more detail at the end of §3.

2. MOUNTAIN IMPASSE THEOREM

Let

$$(2.1) \quad G \in C^1(H \rightarrow \mathbf{R}), \quad G' \in C(H_w \rightarrow H_w)$$

be a weakly lower semicontinuous functional with a mountain pass geometry, as follows. Let $\delta > 0$, $t_0 > 0$, $e \in H$, $\|e\|^2 > t_0$ and assume that

$$(2.2) \quad G(u) \geq 2\delta > 0 \text{ for } \|u\|^2 = t_0, \text{ while } G(0), G(e) \leq 0.$$

We assume that G has no critical points:

$$(2.3) \quad G'(u) \neq 0 \text{ when } G(u) \geq \delta.$$

The following condition will also be required:

$$(2.4) \quad \begin{aligned} & \text{if } u_k \xrightarrow{w} u_0, \quad \limsup(G'(u_k), u_k) \leq 0 \text{ and} \\ & G'(u_k) - (G'(u_k), u_k)u_k / \|u_k\|^2 \rightarrow 0, \\ & \text{then } u_k \rightarrow u_0 \text{ in } H. \end{aligned}$$

We should note that this condition of a weak (PS) type becomes a mere weak continuity condition when G is as in (3.2). Let

$$(2.5) \quad S_t = \{u \in H : \|u\|^2 = t\}, \quad B_t = \{u \in H : \|u\|^2 \leq t\}.$$

For every $t > \|e\|^2$ we define $\Phi(t)$ as a collection of paths $\varphi \in C([0, 1] \rightarrow B_t)$ such that

$$(2.6) \quad \varphi(0) = 0, \quad \varphi(1) = e.$$

Let

$$(2.7) \quad \kappa(t) = \inf_{\varphi \in \Phi(t)} \max_{s \in [0, 1]} G(\varphi(s)).$$

From (2.2) it follows that

$$(2.8) \quad \kappa(t) \geq 2\delta \quad \text{when } t > \|e\|^2.$$

Theorem 2.1. *Assume (2.1)–(2.4). There exist a sequence $\alpha_j > 0$, $\alpha_j \rightarrow 0$, and a sequence $u_j \in H \setminus \{0\}$, $\|u_j\| \rightarrow \infty$, such that*

$$(2.9) \quad G'(u_j) = -\alpha_j u_j,$$

$$(2.10) \quad G(u_j) \geq \delta.$$

The proof of Theorem 2.1 will be given as a sequence of lemmas. Relations (2.1)–(2.4) are assumed throughout §2. The following statement can be found in [5].

Lemma 2.2. *Let $Z(u) \in C(B_t \rightarrow H)$ and $Z(u) \neq 0$ on $B_t \setminus \{0\}$. Assume that there is a closed subset Q of $B_t \setminus \{0\}$ and a $\theta < 1$ such that*

$$(2.11) \quad (Z(u), u) + \theta \|Z(u)\| \|u\| \geq 0, \quad u \in Q.$$

Then for each $\alpha < (1 - \theta)$ there is a locally Lipschitz mapping $Y(u) : B_t \setminus \{0\} \rightarrow H$ such that

$$(2.12) \quad (Z(u), Y(u)) \geq \alpha \|Z(u)\|, \quad u \in B_t \setminus \{0\},$$

$$(2.13) \quad (Y(u), u) > 0, \quad u \in Q,$$

and

$$(2.14) \quad \|Y(u)\| \leq 1, \quad u \in B_t \setminus \{0\}.$$

Lemma 2.3. *Assume that there is an $\varepsilon > 0$, such that*

$$(2.15) \quad G'(u) = \beta u$$

has no solution u when

$$(2.16) \quad u \in H_\delta = \{u \in H : G(u) \geq \delta\},$$

and $\beta \in [-2\varepsilon, 0]$. Then for any $t > \|e\|^2$ there exist a $\theta < 1$ such that

$$(2.17) \quad (G'(u), u) + \theta \|G'(u)\| \|u\| \leq 0, \quad u \in H_\delta \cap B_t \Rightarrow (G'(u), u) \leq -\varepsilon \|u\|^2.$$

Proof. Assume the opposite, namely that there is a sequence $u_j \in H_\delta \cap B_t$ and a sequence $\theta_j \rightarrow 1$, $\theta_j < 1$, such that

$$(2.18) \quad (G'(u_j), u_j) + \theta_j \|G'(u_j)\| \|u_j\| \leq 0,$$

$$(2.19) \quad \beta(u_j) := (G'(u_j), u_j) / \|u_j\|^2 \in [-\varepsilon, 0].$$

Let u_i be a renamed weakly convergent subsequence, and $u_0 = w\text{-}\lim u_j$. By (2.1) $G'(u_j) \xrightarrow{w} G'(u_0)$ and therefore $G'(u_j)$ is bounded in norm. Then (2.18) easily implies

$$(2.20) \quad G'(u_j) - \beta(u_j)u_j \rightarrow 0.$$

Then by (2.4) $u_j \rightarrow u_0$ in H , $G(u_0) \geq \delta$, and

$$(2.21) \quad G'(u_0) = \beta(u_0)u_0, \quad u_0 \in H_\delta \cap B_t.$$

By (2.19) $\beta(u_0) \in [-\varepsilon, 0]$ which contradicts assumptions of the lemma. \square

Lemma 2.4. *There is a number $r(t) > 0$ and a number $\mu > 0$ independent of t such that*

$$(2.22) \quad \|G'(u)\| \geq r(t), \quad u \in H_\delta \cap B_t,$$

$$(2.23) \quad \|u\| \geq 2\mu, \quad u \in H_\delta \cap B_t.$$

Proof. (1) Assume that (2.22) fails. Then there is a sequence $u_j \xrightarrow{w} u_0 \in B_t$, such that $G'(u_j) \rightarrow 0$. Then by (2.4) $u_j \rightarrow u_0$ in H , $u_0 \in H_\delta \cap B_t$, and $G'(u_0) = 0$, which contradicts (2.2).

(2) Consider the lower bound of $\|u\|$ on H_δ . If $u_j \rightarrow 0$ on H_δ , then $G(u_j) \rightarrow G(0) \leq 0$ by (2.2), which contradicts the assumption on u_j . \square

Lemma 2.5. *Under assumptions of Lemma 2.3,*

$$(2.24) \quad D_t^+ \kappa(t) \leq -\frac{1}{2} \varepsilon \mu^2 / t, \quad t > \|e\|^2,$$

with μ as in (2.23).

Proof. (1) Let us define the following sets:

$$(2.25) \quad \begin{aligned} Q_0 &= \{u \in B_{2t} : |G(u) - \kappa(t)| \leq \delta/2\}, \\ \tilde{Q}_0 &= \{u \in B_{2t} : |G(u) - \kappa(t)| \geq \delta\}, \\ Q_1 &= \left\{u \in B_{2t} : \frac{(G'(u), u)}{\|G'(u)\| \|u\|} \leq -1 + \eta\right\}, \\ Q_2 &= \left\{u \in B_{2t} : \frac{(G'(u)u)}{\|G'(u)\| \|u\|} \geq -1 + 2\eta\right\}, \end{aligned}$$

where $t > \|e\|^2$, $0 < 2\eta < 1 - \theta$ with θ as in Lemma 2.3 applied to the ball B_{2t} . Let

$$(2.26) \quad \begin{aligned} \chi_0(u) &= d(u, \tilde{Q}_0) / (d(u, Q_0) + d(u, \tilde{Q}_0)), \\ \chi_1(u) &= d(u, Q_2) / (d(u, Q_1) + d(u, Q_2)), \\ \chi_2(u) &= 1 - \chi_1(u), \quad u \in H. \end{aligned}$$

The functions (2.26) are Lipschitz continuous, their range is $[0, 1]$, they equal one on Q_0 , Q_1 , Q_2 , respectively, and vanish, respectively, on \tilde{Q}_0 , Q_2 and Q_1 .

We now wish to apply Lemma 2.2 for B_{2t} with $Z = G'$, $Q = \text{supp } \chi_1 \cap \text{supp } \chi_0 = B_{2t} \setminus \{\tilde{Q}_0 \cup Q_2\}$ and θ as in Lemma 2.3. Consider the initial value problem

$$(2.27) \quad d\sigma/dh = \chi_0(\sigma)\chi_1(\sigma)\sigma - N\chi_0(\sigma)\chi_2(\sigma)Y(\sigma)/\|Y(\sigma)\|,$$

$$(2.28) \quad \sigma(h)|_{h=0} = \varphi, \quad \varphi \in H, \quad N = 2\epsilon t/(1 - 2\eta)r.$$

The right-hand side in (2.27) is locally Lipschitz continuous in σ and thus the problem has a unique C^1 -solution σ defined for all h . Note that if $\varphi = 0$ or $\varphi = e$, then so is σ for all h .

(2) Let $\varphi_j \in \Phi(t)$ be a minimizing sequence for (2.7) and σ_j be correspondent solutions of (2.27)–(2.28). Then for $t_1 \in (t, 2t)$,

$$(2.29) \quad \kappa(t_1) \leq \sup_{s \in [0, 1]} G(\sigma_j(h; s))$$

as long as

$$(2.30) \quad \|\sigma_j(h; s)\|^2 < t_1 \quad \text{for all } s \in [0, 1].$$

Let us establish a bound on h that implies (2.30). By (2.27)

$$(2.31) \quad \frac{d}{dh} \|\sigma_j\|^2 = 2\chi_0\chi_1\|\sigma_j\|^2 - 2\chi_0\chi_2N(Y(\sigma_j), \sigma_j)\|Y(\sigma_j)\|^{-1} \leq 2\|\sigma_j\|^2.$$

Therefore,

$$(2.32) \quad \|\sigma_j(h; s)\|^2 \leq te^{2h}.$$

Consequently, assuming

$$(2.33) \quad 0 \leq h \leq \frac{1}{2} \ln(t_1/t)$$

we obtain (2.30). In the further course of the proof h will be subject to additional bounds from above.

(3) Let us estimate the derivative of $G(\sigma)$.

$$(2.34) \quad \frac{d}{dh} G(\sigma_j) = \chi_0\chi_1(G'(\sigma_j), \sigma_j) - N\chi_0\chi_2(G'(\sigma_j), Y(\sigma_j))/\|Y(\sigma_j)\|.$$

By Lemma 2.2 as already applied,

$$(2.35) \quad (G'_j(\sigma), Y(\sigma_j))/\|Y(\sigma_j)\| \geq (1 - 2\eta)\|G'(\sigma_j)\| \quad \text{when } \sigma \in \text{supp } \chi_0\chi_2.$$

By Lemma 2.3

$$(2.36) \quad (G'(\sigma_j), \sigma_j) \leq -\epsilon\|\sigma_j\|^2 \quad \text{when } \sigma \in \text{supp } \chi_0\chi_1.$$

Then (2.34) yields

$$(2.37) \quad \begin{aligned} \frac{d}{dh} G(\sigma_j) &\leq -\epsilon\chi_0\chi_1\|\sigma_j\|^2 - (1 - 2\eta)N\chi_0\chi_2\|G'(\sigma_j)\| \\ &\leq -\epsilon\chi_0\chi_1\|\sigma_j\|^2 - (1 - 2\eta)N\chi_0\chi_2r\|\sigma_j\|^2/2t \\ &\leq -\epsilon\chi_0(\chi_1 + \chi_2)\|\sigma_j\|^2 = -\epsilon\chi_0(\sigma_j)\|\sigma_j\|^2. \end{aligned}$$

(4) Consider the following sets of $s \in [0, 1]$. Let

$$(2.38) \quad I_1 = \{s \in [0, 1] : |G(\varphi(s)) - \kappa(t)| \geq \delta/2\}.$$

For j large enough the inequality in (2.38) holds only if $G(\varphi_j(s)) \leq \kappa(t) - \delta/2$, since φ_j is a minimizing sequence and $\kappa(t)$ is approximated by the maximal values of $G(\varphi_j(s))$. By (2.37), $G(\sigma_j(h; s)) \leq \kappa(t) - \delta/2$ for $s \in I_1$. Now let I_2 be a subset of $[0, 1] \setminus I_1$, such that $\sigma_j(h; s) \in Q_0$ for all $h \in [0, h_1]$, $h_1 := \frac{1}{2} \ln(t_1/t)$, and $I_3 = [0, 1] \setminus (I_1 \cup I_2)$. On I_2 (2.37) implies

$$(2.39) \quad \frac{d}{dh} G(\sigma_j) \leq -\varepsilon \|\sigma_j\|^2.$$

By (2.31)

$$(2.40) \quad \frac{d}{dh} \|\sigma_j\|^2 \geq -2N \|\sigma_j\|$$

and consequently,

$$(2.41) \quad \|\sigma_j\| \geq \|\varphi_j\| - Nh, \quad s \in I_2.$$

Since $\varphi_j(s) \in H_\delta$ when $s \in I_2$, Lemma 2.4 implies

$$(2.42) \quad \|\sigma_j\| \geq 2\mu - Nh$$

and assuming

$$(2.43) \quad h \leq \mu/N,$$

one has

$$(2.44) \quad \|\sigma_j\| \geq \mu \quad \text{for } s \in I_2,$$

and therefore,

$$(2.45) \quad \frac{d}{dh} G(\sigma_j) \leq -\varepsilon \mu^2, \quad s \in I_2.$$

Finally, if $s \in I_3$, let $h_0 \in [0, h_1]$ be a maximal h , such that $\sigma_j(h; s) \in Q_0$ for $h \in [0, h_0]$. Then

$$(2.46) \quad G(\sigma_j(h; s)) \leq G(\sigma_j(h_0, s)) = \kappa(t) - \delta/2, \quad s \in I_3.$$

Combining (2.38), (2.45), and (2.44), one has from (2.29) that

$$(2.47) \quad \begin{aligned} \kappa(t_1) &\leq \max_{s \in [0, 1]} G(\sigma_j(h; s)) \\ &\leq \max \left\{ \kappa(t) - \delta/2, \max_{s \in I_3} G(\varphi_j) - \varepsilon \mu^2 h \right\} \\ &\leq \max \{ \kappa(t) - \delta/2, m_j - \varepsilon \mu^2 h \}, \end{aligned}$$

where $m_j = \max_{s \in [0, 1]} G(\varphi_j)$, $m_j \rightarrow \kappa(t)$. With j large enough and an additional upper bound on h

$$(2.48) \quad h < \delta/6\varepsilon\mu^2,$$

one has

$$(2.49) \quad \kappa(t_1) \leq \kappa(t) - \varepsilon \mu^2 h.$$

Relation (2.49) is valid only as far as h satisfies restrictions (2.48), (2.43), and (2.33). Three of them can be reduced to (2.33) when

$$(2.50) \quad t_1 < \min\{2t, te^{2h_2}, te^{2h_3}\}, \quad \text{where } h_2 = \frac{\mu}{N} \text{ and } h_3 = \frac{\delta}{6\varepsilon\mu^2}.$$

Then (2.49) with $h = \frac{1}{2} \ln(t_1/t)$ immediately implies (2.24). \square

Proof of Theorem 2.1. It is already proved in [4] that for any $t > \|\varepsilon\|^2$ there is a $u \in H_\delta \cap B_t$ and $\alpha > 0$ such that

$$(2.51) \quad G'(u) = -\alpha u.$$

Assume that there are no sequences $\alpha_j > 0$, $u_j \in H_\delta$ satisfying (2.51) and such that $\alpha_j \rightarrow 0$. Then the conditions of Lemma 2.3 are satisfied with some $\varepsilon > 0$ and by Lemma 2.5

$$(2.52) \quad \limsup_{t \rightarrow \infty} \kappa(t) \rightarrow -\infty,$$

which contradicts (2.8). Therefore $\{\alpha_j\}$ necessarily has a subsequence with zero limit. Assume now that $\{u_j\}$ has a bounded subsequence. Then there is a weakly convergent renamed subsequence $u_j \xrightarrow{w} u_0$ and

$$(2.53) \quad G'(u_j) \rightarrow 0.$$

Then by (2.4) $u_j \rightarrow u_0$, $G(u_0) \geq \delta$, and $G'(u_0) = 0$, which contradicts (2.3). Thus $\|u_j\| \rightarrow \infty$. \square

3. APPLICATIONS TO ELLIPTIC PROBLEMS

We wish to show now that Theorem 2.1 implies Theorem 1.2. Our argument is somewhat repetitious of [7] and we omit details.

(1) Let

$$(3.1) \quad \rho_0 \in J_0,$$

and

$$(3.2) \quad G(u) = \frac{1}{2} \rho_0 \|u\|^2 - g(u) + c, \quad c \leq g(0).$$

It is easy to see that if g satisfies (1.1) and (1.2), then G satisfies (2.1).

(2) Let us verify (2.2). Let

$$(3.3) \quad \Gamma(t) = \frac{1}{2} \rho_0 t - \gamma(t) + c.$$

If the function $\Gamma(t)$ has a point of a local minimum on $(0, \infty)$, then G has a nonzero critical point (cf. [6] or [7]), which contradicts the assumptions. If the function $\Gamma(t)$ is monotone, then by Theorem 1.2 all the derivatives of $\gamma(t)$ must be either greater or smaller than $\frac{1}{2} \rho_0$ which contradicts (3.1).

The remaining possibility is that $\Gamma(t)$ has a global maximum at $t = t_0 \in (0, \infty)$. Then (2.2) is satisfied with the following choices. Let $t_1 > t_0$ and let e be an element of a maximizing sequence for g on S_{t_1} , such that

$$(3.4) \quad \frac{1}{2} \rho_0 t_1 - g(e) + c < M + c,$$

where

$$(3.5) \quad M = \frac{1}{2} \rho_0 t_0 - \gamma(t_0).$$

Finally, set

$$(3.6) \quad \delta = \frac{1}{2} \min(M - \frac{1}{2} \rho_0 \|e\|^2 + g(e), M + g(0))$$

and

$$(3.7) \quad c = 2\delta - M.$$

If one assumes that (1.6) has no solution with $\rho = \rho_0$, then G satisfies (2.3). Now note that (2.4) follows from (1.2) and one can apply Theorem 2.1. \square

We discuss here only the applications to the problem

$$(3.8) \quad -\rho \Delta u = f(u), \quad u \in H_0^1(\Omega) \setminus \{0\},$$

where $\Omega \subset \mathbf{R}^n$, $n \geq 3$, is an open bounded set, $f: \mathbf{R} \rightarrow \mathbf{R}$ is continuous and subcritical at infinity, i.e.,

$$(3.9) \quad f(s) = o(|s|^{(n+2)/(n-2)}) \quad \text{as } s \rightarrow \infty.$$

Let

$$(3.9) \quad F(s) = \int_0^s f(\sigma) d\sigma,$$

$$(3.10) \quad g(u) = \int_{\Omega} F(u) dx.$$

It is well known that g satisfies (1.1) and (1.2) on $H_0^1(\Omega)$. Equation (3.8) is an equation for a critical point of g on

$$(3.11) \quad S_t = \left\{ u \in H_0^1(\Omega) : \int_{\Omega} |\nabla u|^2 = t \right\}.$$

Theorem 1.2 then implies that (3.8) is solvable for a dense subset of $\rho \in J_0$. The interval J_0 is defined here as an open interval between the lower and upper bound of the slopes on the graph of

$$(3.12) \quad 2\gamma(t) = \sup_{u \in S_t} 2 \int_{\Omega} F(u) dx.$$

One can reverse Theorem 1.2 and state the solvability of (1.6) for all $\rho \in J_0$ with an additional condition of an a priori bound on a Hilbert norm of u .

Theorem 3.1. *Assume (1.1), (1.2), and (1.8). If there is a $\nu > 0$ and a $c > 0$, such that for any u satisfying (1.6) with $|\rho - \rho_0| < \nu$,*

$$(3.13) \quad \|u\| \leq c,$$

then (1.6) has a solution with $\rho = \rho_0$.

This statement is an elementary corollary of Theorem 1.2.

There are several important results which establish a priori bounds in L^∞ motivated by the topological approach to (3.8) (cf. [3] and references therein). The following statement uses an argument from [3].

Corollary 3.2. *Let $n \geq 3$, Ω be starshaped, $f \geq 0$, and let*

$$(3.14) \quad \frac{F(s)}{s^\sigma} \text{ be a decreasing function near } s = +\infty \text{ with some } \sigma < \frac{2n}{n-2}.$$

Then the problem (3.8) satisfies the condition (3.14) and consequently is solvable for all $\rho \in J_0$.

Proof. Since Ω is starshaped, a well-known Pohozaev-Rellich identity (resulting from multiplication of (3.8) by $(x \cdot \nabla)u$) provides

$$(3.15) \quad \rho \|u\|^2 \leq \frac{2n}{n-2} \int_{\Omega} F(u).$$

At the same time, multiplication of (3.8) by u gives

$$(3.16) \quad \rho \|u\|^2 = \int f(u)u.$$

From (3.15) and since the problem might have only positive solutions, one has

$$(3.17) \quad \int F(u) \leq \sigma \int f(u)u + c, \quad c \in \mathbf{R}.$$

Then (3.16)–(3.18) immediately provide (3.14). \square

This corollary includes cases of f with sub- (or super-)linear behavior both at 0 and at ∞ .

REFERENCES

1. M. S. Berger, *Nonlinearity and functional analysis*, Academic Press, New York, 1977.
2. F. E. Browder, *Infinite dimensional manifolds and nonlinear elliptic eigenvalue problems*, Ann of Math. **82** (1965), 459–477.
3. G. D. de Figueiredo, P.-L. Lions, and R. Nussbaum, *A priori estimates and existence of positive solutions of semilinear elliptic equations*, J. Math. Pures Appl. **61** (1962), 41–63.
4. M. Schechter, *Mountain pass alternative*, Adv. in Appl. Math. **12** (1991), 91–105.
5. ———, *The Hampwile theorem for nonlinear eigenvalues*, Duke Math. J. **59** (1989), 325–335.
6. M. Schechter and K. Tintarev, *Points of spherical maxima and solvability of semilinear elliptic problems*, Canad. J. Math. **43** (1991), 1–7.
7. M. Schechter and K. Tintarev, *Eigenvalues for semilinear boundary value problems*, Arch. Rational Mech. Anal. **113** (1991), 197–208.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, IRVINE, CALIFORNIA 92717
E-mail address: tintarev@math.uci.edu